



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: )  
SHIGENORI OHKAWA ET AL. )  
Application No.: N/Y/A )  
Filed: Concurrently Herewith )  
For: TRICYCLIC COMPOUNDS, )  
THEIR PRODUCTION AND USE : March 5, 1997

Examiner: Not Yet Assigned  
Group Art Unit: N/Y/A

#2  
G.M.  
8-20-97

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

EPO 0 708 099 relates to indole-type compounds of the type noted, for instance, in formulae I and I<sub>1,4</sub> at pages 3-5 therein. The relevance of the remaining non-English documents is discussed in Applicants' specification at pages 3-6.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 758-2400. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
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